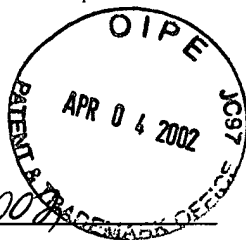


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PATENT
Attorney Docket No.: 021040-000300US

Assistant Commissioner for Patents
Washington, D.C. 20231



On

March 28, 2002

TOWNSEND and TOWNSEND and CREW LLP

By:

Sylvia E. Arnold

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yan Zhou, et al.

Application No.: 10/083,674

Filed: October 19, 2001

For: INTEGRATED PLANAR
COMPOSITE COUPLING
STRUCTURES FOR BI-DIRECTIONAL
LIGHT BEAM TRANSFORMATION
BETWEEN A SMALL MODE SIZE
WAVEGUIDE AND A LARGE MODE
SIZE WAVEGUIDE

Examiner: Unassigned

Art Unit:

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

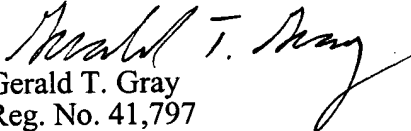
Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

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Respectfully submitted,


Gerald T. Gray
Reg. No. 41,797

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PTO/SB/21 (08-00)

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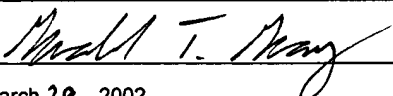
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/083,674
	Filing Date	October 19, 2001
	First Named Inventor	Zhou, Yan
	Group Art Unit	Unknown
	Examiner Name	Unassigned
Total Number of Pages in This Submission	Attorney Docket Number	021040-000300US

030
#3

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APR 04 2002
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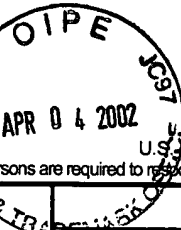
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **3** of **3**

Complete if Known

Application Number	10/083,674
Filing Date	October 19, 2001
First Named Inventor	Zhou, Yan
Group Art Unit	Unknown
Examiner Name	Unassigned
Attorney Docket Number	021040-000300US

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	6,181,722	B1	Dutting et al.	01/30/2001	
	AB	4,152,044		Liu	05/01/1979	
	AC	4,919,504		Colas et al.	04/24/1990	
	AD	5,127,749		Denton et al.	06/08/1993	
	AE	5,259,049		Bona et al.	11/02/1993	
	AF	5,276,693		Long et al.	01/04/1994	
	AG	5,515,464		Sheem	05/07/1996	
	AH	5,719,973		Monroe et al.	02/17/1998	
	AI	5,930,423		Chen et al.	07/27/1999	
	AJ	6,052,397		Jeon et al.	04/18/2000	

FOREIGN PATENT DOCUMENTS

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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